UTILITY PATENT APPLICATION TRANSMITTAL (only for new and continuation-in-part nonprovisional applications under 37 CFR 1.53(b))

Docket No.: P-LJ 5144



COMMISSIONER FOR PATENTS Box Patent Application Washington, D.C. 20231



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| This is a request for filing a X new utility patent application under 37 CFR 1.53(b)(1) | |
|---|--|
| continuation-in-part under CFR 1.53(b)(2) of prior application serial no, filed (list entire parentage). | |
| Title: SURVIVIN-BINDING PROTEINS, ENCODING NUCLEIC ACIDS, AND METHODS OF USE | |
| Inventor(s)(full name of each inventor): John C. Reed and Kazuya Okada. | |
| Enclosed are: | |
| X Return receipt postcard Patent Application Bibliographic Data Sheet X 1 Page application cover sheet X 81 Pages of specification (includes claims and abstract) X 6 Sheets of drawing(s) Pages of an executed Declaration for Patent Application An executed Power of Attorney for Patent Application by Assignee X Paper copy of sequence listing, pages 1 through 6 X Sequence listing in computer readable form X Statement Under 37 CFR 1.821(f) An executed assignment and cover sheet An executed Statement Under 37 CFR 3.73(b) An executed small entity statement Request for Nonpublication and Certification Also enclosed: | |
| This application is based on prior foreign application(s) No.(s), filed in on | |
| , respectively, and priority is hereby claimed therefrom. | |

This application is based on, and claims the benefit of, U.S. Provisional Application No. 60/_____, filed _____

,and entitled

herein by reference.

Inventor(s): Reed and Okada

Docket No.: P-LJ 5144

Page 2

X This application is based on, and claims the benefit of, U.S. Provisional Application No. 60/_____ (yet to be assigned), filed January 25, 2001, which was converted from U.S. Serial No. 09/770,219, and entitled NOVEL SURVIVINBINDING PROTEINS, ENCODING NUCLEIC ACIDS, AND METHODS OF USE, and which is incorporated herein by reference.

The filing fee has been calculated as shown below:

| | | | | | Rate | | | F | ee |
|---|-----------------|---|-----------------|---|-----------------|-----------------|--------|-----------------|-----------------|
| | Number Filed | | Number Extra | | Small Entity | Other Entity | | Small Entity | Other Entity |
| Total Claims | 34-20 | = | 14 | x | \$9 | \$18 | = | \$ | \$ |
| Indepen- dent Claims | 12-3 | = | 9 | x | \$42 | \$84 | = | ¢. | \$ |
| Multiple Dependent Claims Presented: Yes No | | | | | \$140 | \$280 | | \$ | \$ |
| | | | | | BASIC FEE | | | \$370 | \$740 |
| | | | | | TOTAL F | EE | \$0.00 | \$ | |

| A | check | in | the | amount | of | \$ to | cover | the | filing | fee |
|-------|---------|------|-----|--------|----|----------|-------|-----|--------|-----|
| is | s enclo | osec | i. | | | | | | | |

| _X_ | The payment | of | the fil | ing | fee | is | to | be o | deferred | until | the |
|-----|-------------|----|---------|-----|-----|-----|------|------|-----------|-------|------|
| | Declaration | is | filed. | Do | not | cha | arge | ou | r deposit | accou | ınt. |

__ The Commissioner is hereby authorized to charge fees under 37 CFR 1.16 and 1.17 which may be required or credit any overpayment to Deposit Account No. _____. A duplicate copy of this sheet is enclosed.

Address all future communications to:

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USPTO CUSTOMER NO. 23601

Respectfully submitted,

Date: January 24, 2002 Willame K. Welste

Melanie K. Webster Registration No. 45,201 CAMPBELL & FLORES LLP

4370 La Jolla Village Dr., 7th Fl. San Diego, California 92122

PATENT

Our Docket: P-LJ 5144

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| In re | e application of:) Reed and Okada) |
|-------|---|
| Seria | al No.: Unassigned) |
| Filed | d: Herewith) |
| For: | SURVIVIN-BINDING PROTEINS) ENCODING NUCLEIC ACIDS,) AND METHODS OF USE) |

Box Patent Application Commissioner for Patents Washington, D.C. 20231 CERTIFICATE OF MAILING BY "EXPRESS MAIL"

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Brian Ho

(TYPED OR PRINTED NAME OR PERSON MAILING PAPER OR FEE)

(SIGNATURE OF PERSON MAILING PAPER OR FEE)

Sir:

STATEMENT UNDER 37 C.F.R. § 1.821(f)

I hereby state that the content of the paper and computer readable copies of the Sequence Listing, submitted in accordance with 37 CFR § 1.821(c) and (e), respectively, are the same.

Respectfully submitted,

January 24, 2002

Date

Melante K. Webster

Registration No. 45,201 Telephone: (858) 535-9001

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LISTING (PAGES 1-6)

Attorney Docket No: P-LJ 5144

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